

Electronic Acknowledgement Receipt

| | |
|---|--|
| EFS ID: | 6425822 |
| Application Number: | 10632149 |
| International Application Number: | |
| Confirmation Number: | 1871 |
| Title of Invention: | METHOD AND SYSTEM FOR PROVIDING RULE-BASED COLLATERAL ALLOCATION AND SUBSTITUTION |
| First Named Inventor/Applicant Name: | David R. Fitzpatrick |
| Correspondence Address: | DICKSTEIN SHAPIRO LLP Richard LaCava 1177 Avenue of the Americas - New York NY 10036-2714 US - - |
| Filer: | Robert Gingher/Viola Hyland |
| Filer Authorized By: | Robert Gingher |
| Attorney Docket Number: | I9926.0023 |
| Receipt Date: | 10-NOV-2009 |
| Filing Date: | 30-JUL-2003 |
| Time Stamp: | 14:45:35 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| | |
|------------------------|----|
| Submitted with Payment | no |
| File Listing: | |

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|--|----------------------|---------------|--|------------------|------------------|
| 1 | | 199260023.pdf | 68141 d08696da3f85435e5bc7161d7e57da10542ff | yes | 3 |
| Multipart Description/PDF files in .zip description | | | | | |
| Document Description | | | Start | End | |
| Request for Certificate of Correction | | | 1 | 2 | |
| Request for New or Replacement Patent Grant | | | 3 | 3 | |
| Warnings: | | | | | |
| Information: | | | | | |
| Total Files Size (in bytes): | | | 68141 | | |
| This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. | | | | | |
| <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. | | | | | |
| <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. | | | | | |
| <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. | | | | | |